



PATENT
Customer No. 22,852
Attorney Docket No. 9140.0025-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------------------------------|---|----------------------------|
| In re Application of: |) | |
| |) | |
| DAWES, David |) | Group Art Unit: 2883 |
| |) | |
| Application No.: 10/650,461 |) | Examiner: DUPUIS, Derek L. |
| |) | |
| Filed: August 27, 2003 |) | |
| |) | |
| For: OPTICALLY COUPLING INTO HIGHLY |) | Confirmation No.: 7106 |
| UNIFORM WAVEGUIDES |) | |

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed with the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached. Copies of the U.S. patents and patent publications are not enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim

in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

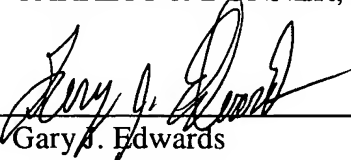
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: February 20, 2007

By: _____


Gary J. Edwards
Reg. No. 41.008

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| EXPRESS MAIL LABEL NO. EV 955594524 US |
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Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Application Number

10/650,461

Filing Date

August 27, 2003

First Named Inventor

DAWES, David

Art Unit

2883

Examiner Name

DUPUIS, Derek L.

Attorney Docket Number

9140.0025-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

| Examiner Initials | Cite No. ¹ | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|-----------------------|--|---|---|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US 2002/0191916 A1 | 12-19-2002 | Frish et al. | |
| | | US 2005/0175287 A1 | 08-11-2005 | Pan et al. | |
| | | US 6,154,582 | 11-28-2000 | Bazylenko et al. | |
| | | US 6,288,835 B1 | 09-11-2001 | Nilsson et al. | |
| | | US 6,452,717 B1 | 09-17-2002 | Endo | |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
|-------------------|-----------------------|---|--------------------------------|---|---|--------------------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | KR 2003-0088236 | 11-19-2003 | Hyundai Motor Co Ltd | | Abstract |
| | | WO 01/82297 A1 | 11-01-2001 | Koninklijke Philips Electronics N.V. | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
|-------------------|-----------------------|---|--------------------------|
| | | AGRAWAL, G.P., in: Fiber-Optic Communication Systems, 2nd Edition, John Wiley & Sons, New York, pp. 361-399 and 415 (1997). | |
| | | MASUDA, H. & KAWAI, S., "Wide-band and gain-flattened hybrid fiber amplifier consisting of an EDFA and a multiwavelength pumped raman amplifier," IEEE Photonics Technology Lett. 11(6):647-649 (1999). | |
| | | SNOEKS, E. et al., "Cooperative upconversion in erbium-implanted soda-lime silicate glass optical waveguides," J. Opt. Soc. Am. B 12(8):1468-1474 (1995). | |
| | | Notice of Allowance mailed March 25, 2004, for US Patent No. 6,827,826 (Atty. Docket No. 09140.0002-02). | |
| | | Notice of Allowance mailed October 8, 2002, in U.S. Patent No. 6,533,907 (Atty. Docket No. 09140.0004-00). | |
| | | Response to Office Action dated October 19, 2006, in U.S. Application No. 09/903,081 (Atty. Docket No. 09140.0014-00). | |
| | | Office Action dated December 18, 2006, in U.S. Application No. 09/903,081 (Attorney Docket No. 9140.0014-00). | |
| | | Notice of Allowance mailed October 21, 2004, in U.S. Application No. 10/101,492 (Atty. Docket No. 09140.0015-00). | |
| | | Response to Office Action dated September 11, 2006, in U.S. Application No. 11/100,856 (Atty. Docket No. 09140.0015-01). | |

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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**EXPRESS MAIL LABEL NO.
EV 955594524 US**

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Application Number

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First Named Inventor

DAWES, David

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NON PATENT LITERATURE DOCUMENTS

| | | |
|--|---|--|
| | Office Action dated December 1, 2006, in U.S. Application No. 11/100,856 (Attorney Docket No. 9140.0015-01). | |
| | Office Action mailed September 6, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00). | |
| | Response to Office Action dated February 6, 2007, in U.S. Application No. 10/101,863 (Attorney Docket No. 9140.0016-00). | |
| | Office Action dated October 31, 2006, in Application No. 10/954,182 (Attorney Docket No. 9140.0016-01). | |
| | Response to Office Action dated December 6, 2006, in Application No. 10/954,182 (Attorney Docket No. 9140.0016-01). | |
| | Supplemental Preliminary Amendment dated February 6, 2007, in U.S. Application No. 11/228,834 (Attorney Docket No. 9140.0016-02). | |
| | Supplemental Preliminary Amendment dated February 6, 2007, in U.S. Application No. 11/191,643 (Attorney Docket No. 9140.0016-04). | |
| | Voluntary Amendment dated July 26, 2006 in TW Appl. No. 92123625 (Attorney Docket No. 9140.0025-00270). | |

Examiner
SignatureDate
Considered

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